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## BIB DATA SHEET

CONFIRMATION NO. 2550

SERIAL NUMBER	FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.		
10/605,551	10/08/2003	705	3624	014033-014		
<b>RULE</b>						
<b>APPLICANTS</b> Jennifer B. Owens, Charlotte, NC; Jacob Firestone, Martinez, CA; Edward T. Hawthorne, Fairfield, CA; Joseph L. Valasquez, Charlotte, NC; David Hadd, Charlotte, NC; Bradley A. Yee, South San Francisco, CA;						
<b>** CONTINUING DATA *****</b> <b>** FOREIGN APPLICATIONS *****</b> <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 01/06/2004						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /ROMAIN JEANTY/ Acknowledged Examiner's Signature		<input type="checkbox"/> Met after Allowance Initials	<b>STATE OR COUNTRY</b> NC	<b>SHEETS DRAWINGS</b> 10	<b>TOTAL CLAIMS</b> 71 39	<b>INDEPENDENT CLAIMS</b> 4
<b>ADDRESS</b> MOORE & VAN ALLEN PLLC P.O. BOX 13706 Research Triangle Park, NC 27709 UNITED STATES						
<b>TITLE</b> SYSTEM AND METHOD FOR OPERATIONAL RISK ASSESSMENT AND CONTROL						
<b>FILING FEE RECEIVED</b> 1774	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		